

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being transmitted to the U.S. Patent and Trademark Office via EFS on November 5, 2009 (date).

Signature    /david r. josephs/

\_\_\_\_\_  
Certifier: David R. Josephs

PATENT  
ART UNIT 3696  
Serial No: 10/604,699  
Confirm. No. 1698

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:     CHARNLEY, Jr., James, Allen  
Serial No.:     10/604,699  
Filed:          August 11, 2003  
For:            PROCESS FOR THE SELECTION AND EVALUATION OF INVESTMENT  
                  PORTFOLIO ASSET ALLOCATION STRATEGIES

Atty:   D. Josephs     Docket No.   W12P898US1  
Examiner:            FU, Hao  
Group Art Unit:     3696

AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant is in receipt of the Office Action mailed May 7, 2009. Enclosed is a petition for a three-month extension of time and associated fee to extend the time to respond from August 7, 2009 to November 7, 2009.

In response to the office action of May 7, 2009, please amend the above-identified application as follows.